

# Cultivating Complex Analysis: Cycles around compacts (6.3.3)

Jiří Lebl

Departemento pri Matematiko de Oklahoma Ŝtata Universitato

Given a compact  $K \subset U$ , we want a  $\Gamma$  in  $U \setminus K$  homologous to zero in  $U$  that goes around  $K$ .

Given a compact  $K \subset U$ , we want a  $\Gamma$  in  $U \setminus K$  homologous to zero in  $U$  that goes around  $K$ .

### Lemma

*Let  $U \subset \mathbb{C}$  be open and suppose that  $K \subset U$  is compact and nonempty. Then there exists a cycle  $\Gamma$  in  $U \setminus K$  such that  $n(\Gamma; z) = 1$  for all  $z \in K$  and  $n(\Gamma; z) = 0$  for all  $z \in \mathbb{C} \setminus U$  and such that  $n(\Gamma; z)$  is 0 or 1 for all  $z \notin \Gamma$ .*

Given a compact  $K \subset U$ , we want a  $\Gamma$  in  $U \setminus K$  homologous to zero in  $U$  that goes around  $K$ .

### Lemma

*Let  $U \subset \mathbb{C}$  be open and suppose that  $K \subset U$  is compact and nonempty. Then there exists a cycle  $\Gamma$  in  $U \setminus K$  such that  $n(\Gamma; z) = 1$  for all  $z \in K$  and  $n(\Gamma; z) = 0$  for all  $z \in \mathbb{C} \setminus U$  and such that  $n(\Gamma; z)$  is 0 or 1 for all  $z \notin \Gamma$ .*

Lots of ideas how to do it, but proof always involves checking many details.

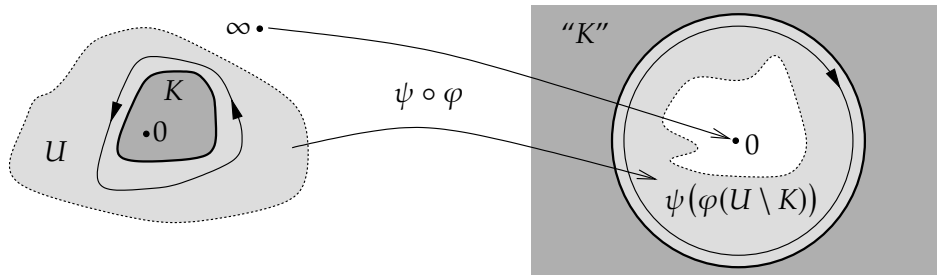
Given a compact  $K \subset U$ , we want a  $\Gamma$  in  $U \setminus K$  homologous to zero in  $U$  that goes around  $K$ .

### Lemma

*Let  $U \subset \mathbb{C}$  be open and suppose that  $K \subset U$  is compact and nonempty. Then there exists a cycle  $\Gamma$  in  $U \setminus K$  such that  $n(\Gamma; z) = 1$  for all  $z \in K$  and  $n(\Gamma; z) = 0$  for all  $z \in \mathbb{C} \setminus U$  and such that  $n(\Gamma; z)$  is 0 or 1 for all  $z \notin \Gamma$ .*

Lots of ideas how to do it, but proof always involves checking many details.

We will map to the disk, but with a twist. We'll take  $\mathbb{C}_\infty \setminus K$  to the disc, and go around the "outside" in the opposite direction:



**Proof:**  $K$  could have infinitely many components.

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components.

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .



**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .

Let  $K_1, \dots, K_n$  be the components of  $K'$ .

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .

Let  $K_1, \dots, K_n$  be the components of  $K'$ .  $K_1$  and  $K_2 \cup \cdots \cup K_n$  are closed.

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .

Let  $K_1, \dots, K_n$  be the components of  $K'$ .  $K_1$  and  $K_2 \cup \cdots \cup K_n$  are closed.

If we prove the lemma for  $K_1$  and  $U \setminus (K_2 \cup \cdots \cup K_n)$  to find a cycle  $\Gamma_1$ , then we are done:

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .

Let  $K_1, \dots, K_n$  be the components of  $K'$ .  $K_1$  and  $K_2 \cup \cdots \cup K_n$  are closed.

If we prove the lemma for  $K_1$  and  $U \setminus (K_2 \cup \cdots \cup K_n)$  to find a cycle  $\Gamma_1$ , then we are done:

Repeat the procedure for each  $K_j$  to find  $\Gamma_j$  and let  $\Gamma = \Gamma_1 + \cdots + \Gamma_n$ .

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .

Let  $K_1, \dots, K_n$  be the components of  $K'$ .  $K_1$  and  $K_2 \cup \cdots \cup K_n$  are closed.

If we prove the lemma for  $K_1$  and  $U \setminus (K_2 \cup \cdots \cup K_n)$  to find a cycle  $\Gamma_1$ , then we are done:

Repeat the procedure for each  $K_j$  to find  $\Gamma_j$  and let  $\Gamma = \Gamma_1 + \cdots + \Gamma_n$ .

$n(\Gamma_j; z) = 1$  for all  $z \in K_j$

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .

Let  $K_1, \dots, K_n$  be the components of  $K'$ .  $K_1$  and  $K_2 \cup \cdots \cup K_n$  are closed.

If we prove the lemma for  $K_1$  and  $U \setminus (K_2 \cup \cdots \cup K_n)$  to find a cycle  $\Gamma_1$ , then we are done:

Repeat the procedure for each  $K_j$  to find  $\Gamma_j$  and let  $\Gamma = \Gamma_1 + \cdots + \Gamma_n$ .

$n(\Gamma_j; z) = 1$  for all  $z \in K_j$  and  $n(\Gamma_j; z) = 0$  for all  $z \in K_\ell$  if  $\ell \neq j$ .

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .

Let  $K_1, \dots, K_n$  be the components of  $K'$ .  $K_1$  and  $K_2 \cup \cdots \cup K_n$  are closed.

If we prove the lemma for  $K_1$  and  $U \setminus (K_2 \cup \cdots \cup K_n)$  to find a cycle  $\Gamma_1$ , then we are done:

Repeat the procedure for each  $K_j$  to find  $\Gamma_j$  and let  $\Gamma = \Gamma_1 + \cdots + \Gamma_n$ .

$n(\Gamma_j; z) = 1$  for all  $z \in K_j$  and  $n(\Gamma_j; z) = 0$  for all  $z \in K_\ell$  if  $\ell \neq j$ . So  $\Gamma$  works.

**Proof:**  $K$  could have infinitely many components. For a small  $r > 0$ ,  $\exists$  closed discs such that

$$K \subset K' = \overline{\Delta_r(z_1)} \cup \cdots \cup \overline{\Delta_r(z_m)} \subset U.$$

$K'$  is compact and has only finitely many components. A  $\Gamma$  around  $K'$  suffices as  $K \subset K'$ .

Let  $K_1, \dots, K_n$  be the components of  $K'$ .  $K_1$  and  $K_2 \cup \cdots \cup K_n$  are closed.

If we prove the lemma for  $K_1$  and  $U \setminus (K_2 \cup \cdots \cup K_n)$  to find a cycle  $\Gamma_1$ , then we are done:

Repeat the procedure for each  $K_j$  to find  $\Gamma_j$  and let  $\Gamma = \Gamma_1 + \cdots + \Gamma_n$ .

$n(\Gamma_j; z) = 1$  for all  $z \in K_j$  and  $n(\Gamma_j; z) = 0$  for all  $z \in K_\ell$  if  $\ell \neq j$ . So  $\Gamma$  works.

So without loss of generality, assume that  $K$  is connected.



Assume  $0 \in K$ .

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ .

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$$\infty \notin V,$$

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$$\infty \notin V, 0 \in V,$$

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$$\infty \notin V, 0 \in V, V \neq \mathbb{C},$$

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.



Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

So  $\exists$  biholomorphic  $\psi: V \rightarrow D$ ,

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

So  $\exists$  biholomorphic  $\psi: V \rightarrow D$ ,  $q_1 = 0$  and  $\psi(0) = 0 = q_1$ .

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

So  $\exists$  biholomorphic  $\psi: V \rightarrow D$ ,  $q_1 = 0$  and  $\psi(0) = 0 = q_1$ .

$\mathbb{C}_\infty \setminus U$  is compact

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j, \exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

So  $\exists$  biholomorphic  $\psi: V \rightarrow D$ ,  $q_1 = 0$  and  $\psi(0) = 0 = q_1$ .

$\mathbb{C}_\infty \setminus U$  is compact  $\Rightarrow \varphi(\mathbb{C}_\infty \setminus U) \subset V$  is compact



Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

So  $\exists$  biholomorphic  $\psi: V \rightarrow D$ ,  $q_1 = 0$  and  $\psi(0) = 0 = q_1$ .

$\mathbb{C}_\infty \setminus U$  is compact  $\Rightarrow \varphi(\mathbb{C}_\infty \setminus U) \subset V$  is compact  $\Rightarrow S = \psi(\varphi(\mathbb{C}_\infty \setminus U)) \subset D$  is compact.

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

So  $\exists$  biholomorphic  $\psi: V \rightarrow D$ ,  $q_1 = 0$  and  $\psi(0) = 0 = q_1$ .

$\mathbb{C}_\infty \setminus U$  is compact  $\Rightarrow \varphi(\mathbb{C}_\infty \setminus U) \subset V$  is compact  $\Rightarrow S = \psi(\varphi(\mathbb{C}_\infty \setminus U)) \subset D$  is compact.

$\exists r < 1$  such that

$$S \subset \Delta_r(q_1) \cup \dots \cup \Delta_r(q_m)$$

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

So  $\exists$  biholomorphic  $\psi: V \rightarrow D$ ,  $q_1 = 0$  and  $\psi(0) = 0 = q_1$ .

$\mathbb{C}_\infty \setminus U$  is compact  $\Rightarrow \varphi(\mathbb{C}_\infty \setminus U) \subset V$  is compact  $\Rightarrow S = \psi(\varphi(\mathbb{C}_\infty \setminus U)) \subset D$  is compact.

$\exists r < 1$  such that

$$S \subset \Delta_r(q_1) \cup \dots \cup \Delta_r(q_m)$$

Let  $\gamma_j(t) = q_j + re^{-it}$  for  $t \in [0, 2\pi]$  ( $\gamma_j = -\partial \Delta_r(q_j)$ ).

Assume  $0 \in K$ . Assume  $K$  has more than one point.

Let  $\varphi: \mathbb{C}_\infty \rightarrow \mathbb{C}_\infty$ , be  $\varphi(z) = \frac{1}{z}$  for  $z \in \mathbb{C} \setminus \{0\}$ ,  $\varphi(0) = \infty$  and  $\varphi(\infty) = 0$ . Let

$$V = \varphi(\mathbb{C}_\infty \setminus K).$$

$\infty \notin V$ ,  $0 \in V$ ,  $V \neq \mathbb{C}$ , and  $\mathbb{C}_\infty \setminus V = \varphi(K)$  is connected.

So components of  $V$  are simply connected (exercise).

$K$  a union of discs  $\Rightarrow \mathbb{C}_\infty \setminus K$  and thus  $V$  has finitely many components  $V_1, \dots, V_m$ .

By RMT,  $\forall j$ ,  $\exists$  a biholomorphic map from  $V_j$  to  $\Delta_1(q_j)$  (disjoint).

Write

$$D = \Delta_1(q_1) \cup \dots \cup \Delta_1(q_m).$$

So  $\exists$  biholomorphic  $\psi: V \rightarrow D$ ,  $q_1 = 0$  and  $\psi(0) = 0 = q_1$ .

$\mathbb{C}_\infty \setminus U$  is compact  $\Rightarrow \varphi(\mathbb{C}_\infty \setminus U) \subset V$  is compact  $\Rightarrow S = \psi(\varphi(\mathbb{C}_\infty \setminus U)) \subset D$  is compact.

$\exists r < 1$  such that

$$S \subset \Delta_r(q_1) \cup \dots \cup \Delta_r(q_m)$$

Let  $\gamma_j(t) = q_j + re^{-it}$  for  $t \in [0, 2\pi]$  ( $\gamma_j = -\partial\Delta_r(q_j)$ ).

Let  $\Gamma_j = \varphi^{-1} \circ \psi^{-1} \circ \gamma_j$ , and  $\Gamma = \Gamma_1 + \dots + \Gamma_m$ .

Suppose  $p \notin \Gamma$ .

$$n(\Gamma; p) = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z - p} dz$$

Suppose  $p \notin \Gamma$ .

$$n(\Gamma; p) = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z - p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1 - \zeta p)\zeta} d\zeta$$

Suppose  $p \notin \Gamma$ .

$$\begin{aligned} n(\Gamma; p) &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z - p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1 - \zeta p)\zeta} d\zeta \\ &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} \frac{-1}{(1 - \psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} d\xi. \end{aligned}$$

Suppose  $p \notin \Gamma$ .

$$\begin{aligned} n(\Gamma; p) &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z - p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1 - \zeta p)\zeta} d\zeta \\ &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} \frac{-1}{(1 - \psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} d\xi. \end{aligned}$$

Suppose  $p \in \mathbb{C} \setminus U$ .



Suppose  $p \notin \Gamma$ .

$$\begin{aligned} n(\Gamma; p) &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z-p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1-\zeta p)\zeta} d\zeta \\ &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} d\xi. \end{aligned}$$

Suppose  $p \in \mathbb{C} \setminus U$ .

$$h(\xi) = \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))}$$

has two (simple) poles: one at  $\psi\left(\frac{1}{p}\right)$  and one at  $q_1 = 0$ . (third factor never zero)

Suppose  $p \notin \Gamma$ .

$$\begin{aligned} n(\Gamma; p) &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z-p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1-\zeta p)\zeta} d\zeta \\ &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} d\xi. \end{aligned}$$

Suppose  $p \in \mathbb{C} \setminus U$ .

$$h(\xi) = \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))}$$

has two (simple) poles: one at  $\psi\left(\frac{1}{p}\right)$  and one at  $q_1 = 0$ . (third factor never zero)

$$\text{Res}(h; 0) = \frac{-1}{(1-\psi^{-1}(0)p) \psi'(\psi^{-1}(0))} \frac{1}{\frac{1}{\psi'(\psi^{-1}(0))}} = -1$$

Suppose  $p \notin \Gamma$ .

$$\begin{aligned} n(\Gamma; p) &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z-p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1-\zeta p)\zeta} d\zeta \\ &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} d\xi. \end{aligned}$$

Suppose  $p \in \mathbb{C} \setminus U$ .

$$h(\xi) = \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))}$$

has two (simple) poles: one at  $\psi\left(\frac{1}{p}\right)$  and one at  $q_1 = 0$ . (third factor never zero)

$$\text{Res}(h; 0) = \frac{-1}{(1-\psi^{-1}(0)p) \psi'(\psi^{-1}(0))} \frac{1}{\frac{1}{\psi'(\psi^{-1}(0))}} = -1$$

$$\text{Res}(h; \psi(1/p)) = \frac{-1}{\psi^{-1}(\psi(1/p)) \psi'(\psi^{-1}(\psi(1/p)))} \frac{1}{\frac{-1}{\psi'(\psi^{-1}(\psi(1/p)))} p} = 1.$$

Suppose  $p \notin \Gamma$ .

$$\begin{aligned} n(\Gamma; p) &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z-p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1-\zeta p)\zeta} d\zeta \\ &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} d\xi. \end{aligned}$$

Suppose  $p \in \mathbb{C} \setminus U$ .

$$h(\xi) = \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))}$$

has two (simple) poles: one at  $\psi\left(\frac{1}{p}\right)$  and one at  $q_1 = 0$ . (third factor never zero)

$$\text{Res}(h; 0) = \frac{-1}{(1-\psi^{-1}(0)p) \psi'(\psi^{-1}(0))} \frac{1}{\frac{1}{\psi'(\psi^{-1}(0))}} = -1$$

$$\text{Res}(h; \psi(1/p)) = \frac{-1}{\psi^{-1}(\psi(1/p)) \psi'(\psi^{-1}(\psi(1/p)))} \frac{1}{\frac{-1}{\psi'(\psi^{-1}(\psi(1/p)))} p} = 1.$$

$\gamma_1$  goes around 0,

Suppose  $p \notin \Gamma$ .

$$\begin{aligned} n(\Gamma; p) &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z-p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1-\zeta p)\zeta} d\zeta \\ &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} d\xi. \end{aligned}$$

Suppose  $p \in \mathbb{C} \setminus U$ .

$$h(\xi) = \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))}$$

has two (simple) poles: one at  $\psi\left(\frac{1}{p}\right)$  and one at  $q_1 = 0$ . (third factor never zero)

$$\text{Res}(h; 0) = \frac{-1}{(1-\psi^{-1}(0)p) \psi'(\psi^{-1}(0))} \frac{1}{\frac{1}{\psi'(\psi^{-1}(0))}} = -1$$

$$\text{Res}(h; \psi(1/p)) = \frac{-1}{\psi^{-1}(\psi(1/p)) \psi'(\psi^{-1}(\psi(1/p)))} \frac{1}{\frac{-1}{\psi'(\psi^{-1}(\psi(1/p)))} p} = 1.$$

$\gamma_1$  goes around 0, some  $\gamma_j$  goes around  $\psi\left(\frac{1}{p}\right) \in S$  (as  $r < 1$  is large enough)

Suppose  $p \notin \Gamma$ .

$$\begin{aligned} n(\Gamma; p) &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\varphi^{-1} \circ \psi^{-1} \circ \gamma_j} \frac{1}{z-p} dz = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\psi^{-1} \circ \gamma_j} \frac{-1}{(1-\zeta p)\zeta} d\zeta \\ &= \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} d\xi. \end{aligned}$$

Suppose  $p \in \mathbb{C} \setminus U$ .

$$h(\xi) = \frac{-1}{(1-\psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))}$$

has two (simple) poles: one at  $\psi(\frac{1}{p})$  and one at  $q_1 = 0$ . (third factor never zero)

$$\text{Res}(h; 0) = \frac{-1}{(1-\psi^{-1}(0)p) \psi'(\psi^{-1}(0))} \frac{1}{\frac{1}{\psi'(\psi^{-1}(0))}} = -1$$

$$\text{Res}(h; \psi(1/p)) = \frac{-1}{\psi^{-1}(\psi(1/p)) \psi'(\psi^{-1}(\psi(1/p)))} \frac{1}{\frac{-1}{\psi'(\psi^{-1}(\psi(1/p)))} p} = 1.$$

$\gamma_1$  goes around 0, some  $\gamma_j$  goes around  $\psi(\frac{1}{p}) \in S$  (as  $r < 1$  is large enough)  $\Rightarrow n(\Gamma; p) = 0$

Suppose  $p \in K$ .

Suppose  $p \in K$ .

$$p \in K \quad \Rightarrow \quad \psi^{-1}(\xi) \neq \frac{1}{p} \text{ for all } \xi \in D,$$



Suppose  $p \in K$ .

$$p \in K \quad \Rightarrow \quad \psi^{-1}(\xi) \neq \frac{1}{p} \text{ for all } \xi \in D,$$

$$\Rightarrow \quad h(\xi) = \frac{-1}{(1 - \psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} \quad \text{has only one pole } 0.$$

Suppose  $p \in K$ .

$$p \in K \quad \Rightarrow \quad \psi^{-1}(\xi) \neq \frac{1}{p} \text{ for all } \xi \in D,$$

$$\Rightarrow \quad h(\xi) = \frac{-1}{(1 - \psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} \quad \text{has only one pole } 0.$$

$$n(\Gamma; p) = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} h(\xi) d\xi$$

Suppose  $p \in K$ .

$$p \in K \quad \Rightarrow \quad \psi^{-1}(\xi) \neq \frac{1}{p} \text{ for all } \xi \in D,$$

$$\Rightarrow \quad h(\xi) = \frac{-1}{(1 - \psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} \quad \text{has only one pole } 0.$$

$$n(\Gamma; p) = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} h(\xi) d\xi = \frac{1}{2\pi i} \int_{\gamma_1} h(\xi) d\xi$$

Suppose  $p \in K$ .

$$p \in K \quad \Rightarrow \quad \psi^{-1}(\xi) \neq \frac{1}{p} \text{ for all } \xi \in D,$$

$$\Rightarrow \quad h(\xi) = \frac{-1}{(1 - \psi^{-1}(\xi)p) \psi^{-1}(\xi) \psi'(\psi^{-1}(\xi))} \quad \text{has only one pole } 0.$$

$$n(\Gamma; p) = \sum_{j=1}^m \frac{1}{2\pi i} \int_{\gamma_j} h(\xi) d\xi = \frac{1}{2\pi i} \int_{\gamma_1} h(\xi) d\xi = -\text{Res}(h; 0) = 1. \quad \square$$

( $\gamma_1$  traverses the circle backwards)

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

Suppose  $\mathbb{C}_\infty \setminus U$  is disconnected.

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

Suppose  $\mathbb{C}_\infty \setminus U$  is disconnected.

Write  $S \cup K = \mathbb{C}_\infty \setminus U$  where  $S$  and  $K$  are nonempty, closed, and disjoint.



## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

Suppose  $\mathbb{C}_\infty \setminus U$  is disconnected.

Write  $S \cup K = \mathbb{C}_\infty \setminus U$  where  $S$  and  $K$  are nonempty, closed, and disjoint.

Assume  $\infty \in S$ .

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

Suppose  $\mathbb{C}_\infty \setminus U$  is disconnected.

Write  $S \cup K = \mathbb{C}_\infty \setminus U$  where  $S$  and  $K$  are nonempty, closed, and disjoint.

Assume  $\infty \in S$ .

$U' = U \cup K$  is open as  $S$  is closed,

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

Suppose  $\mathbb{C}_\infty \setminus U$  is disconnected.

Write  $S \cup K = \mathbb{C}_\infty \setminus U$  where  $S$  and  $K$  are nonempty, closed, and disjoint.

Assume  $\infty \in S$ .

$U' = U \cup K$  is open as  $S$  is closed,  $U' \subset \mathbb{C}$ ,

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

Suppose  $\mathbb{C}_\infty \setminus U$  is disconnected.

Write  $S \cup K = \mathbb{C}_\infty \setminus U$  where  $S$  and  $K$  are nonempty, closed, and disjoint.

Assume  $\infty \in S$ .

$U' = U \cup K$  is open as  $S$  is closed,  $U' \subset \mathbb{C}$ ,  $K \subset U'$  is compact.

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

Suppose  $\mathbb{C}_\infty \setminus U$  is disconnected.

Write  $S \cup K = \mathbb{C}_\infty \setminus U$  where  $S$  and  $K$  are nonempty, closed, and disjoint.

Assume  $\infty \in S$ .

$U' = U \cup K$  is open as  $S$  is closed,  $U' \subset \mathbb{C}$ ,  $K \subset U'$  is compact.

Apply lemma to find a cycle  $\Gamma$  in  $U = U' \setminus K$  such that  $n(\Gamma; z) = 1$  for all  $z \in K$ .

## Theorem

*Let  $U \subset \mathbb{C}$  be a domain. Then  $\mathbb{C}_\infty \setminus U$  is connected if and only if  $U$  is simply connected.*

**Proof:** Forward direction is done (we've just used it above).

Suppose  $\mathbb{C}_\infty \setminus U$  is disconnected.

Write  $S \cup K = \mathbb{C}_\infty \setminus U$  where  $S$  and  $K$  are nonempty, closed, and disjoint.

Assume  $\infty \in S$ .

$U' = U \cup K$  is open as  $S$  is closed,  $U' \subset \mathbb{C}$ ,  $K \subset U'$  is compact.

Apply lemma to find a cycle  $\Gamma$  in  $U = U' \setminus K$  such that  $n(\Gamma; z) = 1$  for all  $z \in K$ .

In other words,  $\Gamma$  is not homologous to zero in  $U$ .



**Exercise:** Suppose  $\{f_n\}$  is a sequence of holomorphic functions on an open set  $U \subset \mathbb{C}$  that converges uniformly on compact subsets to a nonconstant  $f: U \rightarrow \mathbb{C}$ . Let  $K \subset U$  be a compact set. Prove that for every open neighborhood  $V$  of  $K$  in  $U$  (so  $K \subset V \subset U$ ) there exists a smaller open neighborhood  $W$  (so  $K \subset W \subset V$ ) and an  $N \in \mathbb{N}$  such that  $f$  and  $f_n$  have the same number of zeros in  $W$  for all  $n \geq N$ .